



15/06/2018

The Manager  
ASX Market Announcements  
Australian Securities Exchange  
NSW 2000

## **LATE LODGEMENT OF APPENDIX 3Y**

Please find attached an Appendix 3Y for Ms Debra Bakker.

### **1. Explanation why the Appendix 3Y was lodged late**

The Appendix 3Y was lodged late due to an email failure. Ms Bakker did follow Company procedure in notifying the Company about a change in notifiable interests, however, the late lodgement of the Appendix 3Y in this instance was purely as a result of the information not being received.

### **2. Company arrangements in place with directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A.**

In accordance with listing rule 3.19B, the Company has the following processes in place to ensure it is able to meet its disclosure obligations:

- (a) Each Director is informed of ASX disclosure requirements upon their appointment;
- (b) Part of the appointment packages for all new Directors is an agreement which places an obligation on the Directors to notify the Company of their initial interest on appointment and any ongoing disclosure of any changes. This obligation is also explained in their Letter of Appointment; and
- (c) The Company's 'Dealing in Securities Standard' requires any Director wishing to trade in the Company's securities must first obtain the consent to do so from the Chairman or in the case of the Chairman the Board of Directors.

### **3. What additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?**

In light of the above, in addition to current arrangements, the Company has asked all Directors to follow up, with a phone call to the Company Secretary, when there is any change in their notifiable interests.

Yours sincerely

A handwritten signature in black ink that reads 'Joanne McDonald'.

Joanne McDonald  
Company Secretary  
**INDEPENDENCE GROUP NL**

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity: INDEPENDENCE GROUP NL
ABN: 46 092 786 304

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	DEBRA BAKKER
Date of last notice	11 September 2017

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	
Date of change	29 May 2018
No. of securities held prior to change	8,585
Class	Ordinary shares fully paid
Number acquired	2,500
Number disposed	-
Value/Consideration <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	\$11,791.25
No. of securities held after change  Mrs Debra Anne Ridge (née Bakker)	11,085 ordinary shares fully paid

---

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

---

<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market purchase
---	--------------------

#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	n/a
Nature of interest	n/a
Name of registered holder (if issued securities)	n/a
Date of change	n/a
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	n/a
Interest acquired	n/a
Interest disposed	n/a
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	n/a
Interest after change	n/a

#### Part 3 – <sup>+</sup>Closed period

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	n/a
If prior written clearance was provided, on what date was this provided?	n/a

---

<sup>+</sup> See chapter 19 for defined terms.